UCSF Request for Leave of Absence Without Pay (LWOP) For Innovation and Entrepreneurship Activities Pursuant to APM 759

Prior to completing this form, faculty should review the UCSF FAQs for HSCP members: Leaves of Absence Without Pay (LWOP) Pursuant to APM 759 and obtain the support of their Chair.

E	Employee Name:	UC PATH Employee ID:	
A	Academic Home Department:		
I.	. <u>Details Regarding Proposed Leave</u>		
1.	. Name of outside entity:		
2.	. Location of outside entity:		
3.	. General description of the outside entity including its structure,	business area:	
4.	. Describe your proposed role/activity with the company (include and responsibilities):	e description of specific role(s), with title(s)	
5.	. Describe how this proposed activity will enhance your contribute beneficial outcomes to research, clinical, and/or public service a		
6.	. Dates of the proposed leave for which you are requesting appro	val (not to exceed one year)	
	Start Date: End Date:		

For faculty holding an academic appointment in a series with a definite end date (HS Clinical, Adjunct, Clinical X), LWOP under APM 759 will not generally be approved beyond the appointment end date. Requests for LWOP under APM 759 that extend beyond the end date of the academic appointment will be

sh	ould contact their HR Academic	Generalist after discus	ssing the request	with their Chair	•
7.	Is all or part of your salary paid	by an affiliate?	Yes	N/A	
	If so, have you discussed this p	roposed leave with the	e affiliate?	Yes	N/A
	Note: this question applies to for HHMI and Gladstone.	mal affiliation agreem	nents with the U	niversity, for exa	mple, the SFVAHCS,
8.	Will temporary coverage of you leave? Yes	or faculty duties and re	esponsibilities b	e required during	the requested
	If yes, have you discussed this	with your Dept. Chair	and/or Division	Chief?	Yes N/A
	Note: For faculty members interperiod of leave, please contact y Partial LWOP under APM 759 v	our Dean's Office – A			
9.	What arrangements are being n students and post-doctoral scho	_		entoring of your a	ssigned graduate
10). If you hold an endowed chair p ensure that the leave is consiste	•		•	School leadership to
	Confirmed	N/A			
	Note: For Ladder Rank faculty, APM 759. Please contact your I		be held in abeya	ance during an ap	proved LWOP under
II	. <u>Intellectual Property</u>				
1.	Does the proposed activity use	or propose to use intel	lectual property	(IP) developed a	at the University of
	California (using University res	sources)?	Yes No		
	a. If you answered "Yes"	above, have you consu	lted with the Ot	fice of Technolo	gy Management
	(OTM)? Yes	If not, please contact	the Office of To	echnology Manag	gement now.

considered on a case by case basis. For assistance with completing a leave of absence request form, faculty

	b.	What is the status of the IP review?		
	c.	Was a patent application submitted? Yes No N/A		
	d.	Is a license agreement under review or in place?		
2.	Do yo	u plan to create any IP in your role with the company? Yes No Have you consulted with the Office of Technology Management (OTM)? Yes] No	
		If you plan to create IP in your role with the company, please consult with OTM prior to subnyour request.	nitting	
	b.	Please identify your contact at OTM:		
III	I. <u>C</u>	onflict of Interest		
1.	Have y	you completed all required disclosures through the Office of Ethics and Compliance regarding		
	potent memb	al conflicts of interest between your proposed role at the company and your activities as a facuer? Yes No	lty	
	If not, p	lease consult with Ethics and Compliance prior to submitting your request.		
2.	Facult	y members on entrepreneurship leave are prohibited from negotiating with the University or		
	UCSF	Health on any issues related to and/or its subsidiaries, if		
	applica	able. In accepting a leave, faculty acknowledge the requirement to recuse themselves from any		
	decisio	ons, business transactions or other matters between		
	and/or	its subsidiaries, if applicable, and the University or UCSF Health.		

IV. Conflict of Commitment

All APM 759 leave requests will require Category I prior approval in OATS.

1. Outside Professional Activity Reporting: In addition to requesting and obtaining Category I prior approval for the leave through OATS, all HSCP Faculty on an approved LWOP under APM - 759 remain members of the Health Sciences Compensation Plan and therefore must continue to comply with all University policies on conflict of commitment and outside professional activities.

- a. All outside professional activity unrelated to the approved leave under APM 759 must comply with the terms of the Plan, including the time/earnings thresholds and disclosure of the time spent and compensation received from these activities.
- b. Prior approval is required for all Category I outside professional activity and requests to exceed the time and earnings thresholds.
- The Health Sciences Compensation Plan prohibition on outside patient care activities and clinical work
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	C.	applies to faculty on an approved leave under APM 759.
	d.	In addition to complying with University policies on conflict of commitment and outside professional activities, faculty on an approved LWOP under APM 759 must comply with all University policies involving intellectual property, conflict of interest, sponsored research, and the use of University resources.
V.	U 1	niversity Resources
Neith	er ec	uipment from your research lab nor other University property may be moved to
		. In addition, University personnel may not be asked to participate
or be	assig	gned work related to your role at
As no	oted a	above, you remain responsible for complying with the UC Patent Policy while on an approved leave.
Data	or re	search materials may be transferred only with the agreement of the UCSF Office of Technology
Mana	gem	ent and may be subject to a Materials Use Agreement or Data Use Agreement as required by
Unive	ersity	Policy. Any devices provided by and used for
Unive	ersity	business must be compliant with all UC IT security policies.
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VI.		xtramural Funds
		re extramural funding for research activities, approval to defer completing the funded project must be from the funding agency. Alternatively, if the responsibility for the project will be transferred to a
		ipal investigator, the funding agency must approve the change.
new ₁	<i>3</i> 1111 C	ipar investigator, the randing agency must approve the change.
1. Ha	ave y	you contacted the Office of Sponsored Research to discuss management and oversight of your
gra	ants/	extramural funding during your leave? Yes No
I	f you	have not already done so, please consult with OSR prior to submitting your request.
		ibe what, if any, impact the leave will have on the ongoing research efforts of other investigators, ats, and postdocs:

VII. Benefits

- 1. You are responsible for contacting your HR representative and/or the Benefits office to discuss the implications for a leave without pay on your UC benefits and retirement service credit. You may also wish to inquire regarding eligibility for UCRP service credit buy back. https://hr.ucsf.edu/contact
- 2. Mortgage Origination Program (MOP) loans: if you are a MOP loan recipient you remain responsible for making timely payments in accordance with the term and conditions of your MOP loan. Because you will be on an unpaid leave, the University will be unable to continue to deduct these payments from your monthly pay. You must coordinate with Luann Ford, Luann.Ford@ucop.edu, at the Office of Loan Programs to make payments by check while on leave.

VIII. Advancement, Limitation of Service and Return to Service

1. Advancement

a. In general, academic actions will be processed as usual unless deferred by the faculty. Faculty will be subject to the same applicable requirements for advancement that would be in place if they were not on leave.

2. Limitation of Service

a. For Assistant Professors in a senate series, APM – 133 governs academic actions during a leave of absence without pay. Periods of leave without pay shall be included as service toward the eight-year period, unless the Chancellor provides an exception under the limited reasons provided for in APM – 133. In general, petitions requesting that leaves of absence without pay for innovation and entrepreneurship activities not count toward the eight- year service period will not be granted.

3. Return to UCSF

- a. When a request for a leave without pay involves service or activities with an entity or organization outside the University, the request may be approved when the purpose for the leave is one that will enhance the academic appointee's contributions to the University after return from the leave. A leave without pay for entrepreneurship activities will be approved by the University with the understanding that, immediately following the approved leave of absence, the faculty will return to active University service. Failure to return to active University service immediately upon the end of your approved leave may affect your retention of a faculty appointment at UCSF.
- b. Upon your return to active university service in the Department of
 your academic responsibilities will be
 determined and your salary will be negotiated with your department chair according to standard
 University procedures and, if applicable, the UCSF 2020 In Residence Task Force Report.

By signing below, I certify that I will comply with all applicable University policies during my leave, including but not limited to University policies referenced in the above request form. In signing below, I also acknowledge my understanding that supervision of trainees, other employees or other associated responsibilities must be transferred to another faculty member for the duration of my leave. In addition, any trainees or others, including student employees, currently under my supervision are not permitted to participate in this outside endeavor for which I am requesting leave.

Faculty Signature	Date:
Department Chair Signature	Date:
Dean Signature	Date:
I am approving this request for leave without pay under AF Category I request, and completion of the LOA request.	PM 759 subject to approval of the associated
EVCP Signature	Date: